

# Homotopy Type Theory for doing Category Theory

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# Part 1: Homotopy Type Theory

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- To identify  $H^n(\mathbb{S}^n; \mathbb{Z})$  with  $\mathbb{Z}$ , we must choose an orientation for the  $n$ -sphere  $\mathbb{S}^n$ .
- To identify the natural number  $n$  such that  $\pi_4(\mathbb{S}^3)$  is isomorphic to  $\mathbb{Z}/n$  with the number 2, we need to prove that  $n$  equals 2.

# Type Theory

A *type* is a type of mathematical thing.

Type theory gives *rules* for making new types and new *terms* of them.  
It is a full foundation of mathematics, from scratch.

a term : its type

$a : A$

$3 : \mathbb{N}$

$\mathbb{N} : \mathbf{Set}$

$T_p M : \mathbf{Vect}_{\mathbb{R}}$

$\mathbf{Vect}_{\mathbb{R}} : \mathbf{Type}$



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- Similarly, we use “ $a \equiv b$ ” to say that  $a$  is *judged* to be equal to  $b$  by *definition*. For example,

$3 \equiv \text{suc}(\text{suc}(\text{suc}(0)))$ .

# Identifications

For  $a$  and  $b : A$ ,

$a =_A b : \mathbf{Type}$

is the type of *identifications* of  $a$  with  $b$  as elements of  $A$ .<sup>a</sup>

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## Axiom (Univalence)

If  $X$  and  $Y$  are types, then  $X = Y$  is the type of equivalences of  $X$  with  $Y$ .

# Functions

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- In a type theory, every free variable must be annotated with its type.



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$$(a : A) \rightarrow B(a) \quad \text{or, sometimes, } \prod_{a:A} B(a)$$

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- E.g., if  $M : \mathbf{Manifold}$  and  $p : M$ , then we can define the tangent space  $T_p M : \mathbf{VectorSpace}$ . So  $p \mapsto T_p M : M \rightarrow \mathbf{VectorSpace}$ .

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- Since for any  $p : M$ , we have that  $0 : T_p M$ , we get a function  $p \mapsto 0 : (p : M) \rightarrow T_p M$  – the zero vector field.



# Pairs

Given  $A : \mathbf{Type}$  with  $B(a)$  depending on  $a : A$ , then

$$(a : A) \times B(a) \quad \text{or, sometimes, } \Sigma_{a:A} B(a)$$

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- Note that  $(p : M) \rightarrow T_p M$  is the type of sections to  $(p, v) \mapsto p : (p : M) \times T_p M \rightarrow M$

# Inductive Types: Natural Numbers

If a type  $A$  is an *inductive type*, we may assume that a free variable  $a : A$  is of one several prescribed forms.

- We may assume a free natural number  $n : \mathbb{N}$  is either of the form
  - 1  $n \equiv 0$ , or
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In total, we have

$$n \mapsto \begin{cases} x \mapsto x & \text{if } n \equiv 0 \\ x \mapsto \mathbf{suc}(x + m) & \text{if } n \equiv \mathbf{suc}(m). \end{cases} : \mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$$



# The Type of Identifications

Given any two terms  $a, b : A$ , we have a **type**  $a =_A b$  **of identifications of  $a$  with  $b$ .**

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So,

$$a, b, p \mapsto \begin{cases} \text{refl} & \text{if } p \equiv \text{refl} : (a, b : A) \rightarrow a =_A b \rightarrow b =_A a. \end{cases}$$

# Composing Identifications

## Proposition

Given  $p : a = b$  and  $q : b = c$ , we get an identification  $p \bullet q : a = c$ .

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Given  $p : a = b$ , we have  $\text{refleft}_p : \text{refl} \bullet p = p$ , and similarly on the right.

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Given  $p : a = b$ ,  $q : b = c$ , and  $r : c = d$ , we have an identification

$$\text{assoc}_{p,q,r} : (p \bullet q) \bullet r = p \bullet (q \bullet r)$$

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## Proposition

... and as my coherences as you like!

# Every Function a Functor

## Proposition

Let  $f : A \rightarrow B$  be a function and suppose  $p : a_1 = a_2$ . Then we have

$$f_*p : f(a_1) = f(a_2).$$

## Proposition

Let  $f : A \rightarrow B$  be a function and suppose  $p : a_1 = a_2$  and  $q : a_2 = a_3$ . Then we have

$$\text{funct}_{p,q} : f_*(p \bullet q) = f_*p \bullet f_*q.$$

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Let  $(a_1, b_1), (a_2, b_2) : (a : A) \times B(a)$  be pairs. Then

$$((a_1, b_1) = (a_2, b_2)) = (p : a_1 = a_2) \times (B_{*p}(b_1) = b_2).$$

# Identifying Magmas

## Definition

A *magma* is a type  $A$  together with a binary operation  $+$  :  $A \times A \rightarrow A$ :

$$\mathbf{Magma} \equiv (A : \mathbf{Type}) \times (A \times A \rightarrow A).$$

Let  $\mathbf{BinOp}(A) \equiv (A \times A) \rightarrow A$ .

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Let  $\mathbf{BinOp}(A) \equiv (A \times A) \rightarrow A$ .

$$\begin{aligned} & ((A, +) = (B, \oplus)) \\ &= (e : A = B) \times (\mathbf{BinOp}_* e(+)) = \oplus) \\ &= (e : A = B) \times ((b_1, b_2) : B \times B) \rightarrow (\mathbf{BinOp}_* e(+)(b_1, b_2) = b_1 \oplus b_2)) \\ &= (e : A = B) \times ((b_1, b_2) : B \times B) \rightarrow (e(e^{-1} b_1 + e^{-1} b_2) = b_1 \oplus b_2)) \\ &= (e : A = B) \times ((b_1, b_2) : B \times B) \rightarrow (e^{-1} b_1 + e^{-1} b_2 = e^{-1}(b_1 \oplus b_2))) \\ &= (e : A = B) \times ((a_1, a_2) : A \times A) \rightarrow (ea_1 \oplus ea_2 = e(a_1 + a_2)) \end{aligned}$$

# Contractible Types and Equivalences

## Definition

For a function  $f : A \rightarrow B$  and  $b : B$ , its *fiber* is the type

$$\text{fib}_f(b) := (a : A) \times (f(a) = b)$$

together with the map  $(a, p) \mapsto a : \text{fib}_f(b) \rightarrow A$ .

## Definition

A *center of contraction* for a type  $A$  is an element  $c : A$  such that for every other element  $a : A$ , we have an identification of  $a$  with  $c$ .

$$\text{Contr}(A) := (c : A) \times ((a : A) \rightarrow (a = c))$$

## Definition

A map  $f : A \rightarrow B$  is an *equivalence* if its fibers are contractible:

$$\text{Equiv}(f) := (y : Y) \rightarrow \text{Contr}(\text{fib}_f(y))$$



# Propositions, Sets, and More

## Lemma (UFP)

For any two centers of contraction  $c, d : \mathbf{Contr}(A)$ ,  $c = d$  is contractible.

## Definition

- A *proposition* is a type  $P$  such that for any  $a, b : P$ ,  $a = b$  is contractible.

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- ...
- An  $n$ -*type* is a type  $X$  such that for any  $a, b : X$ ,  $a = b$  is an  $(n - 1)$ -type (with  $-2$ -types being contractible).

# Truncation

## Theorem (UFP)

For any type  $X$ , there is a proposition  $\|X\|$  and a map  $|\cdot| : X \rightarrow \|X\|$  and such that

$$\begin{array}{ccc} X & \xrightarrow{\forall} & P \\ \downarrow |\cdot| & \nearrow \exists! & \\ \|X\| & & \end{array}$$

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- $\|(a : A) \times B(a)\|$  represents the proposition  $\exists a : A. B(a)$ .
- If  $B(a)$  is a proposition for all  $a : A$ , then  $(a : A) \rightarrow B(a)$  represents the proposition  $\forall a : A. B(a)$ .

# Part 2: Category Theory

# Pre-categories

## Definition

A *pre-category*  $\mathcal{C}$  consists of:

- A type  $\text{ob } \mathcal{C}$  of *objects*.
- For each  $A, B : \text{ob } \mathcal{C}$ , a set  $\mathcal{C}(A, B)$  of morphisms.
- Composition functions  $\circ : \mathcal{C}(B, C) \rightarrow \mathcal{C}(A, B) \rightarrow \mathcal{C}(A, C)$ .
- Identities  $\text{id}_A : \mathcal{C}(A, A)$ .
- All the usual identities.



## ...And the Obvious Morphisms

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A pre-category  $\mathcal{C}$  is a *category* if the map

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A category is a pre-category where the identifications between objects are precisely the isomorphisms.

- The category of sets.
- The category of groups, abelian groups, rings, vector spaces...
- The category of topological spaces, smooth manifolds, schemes...
- Functors from a pre-category to a category form a category – hence any presheaf category.

# Universal Properties are Properties

## Proposition

Let  $\mathcal{C}$  be a category. Then the type

$$\mathbf{Terminal}(A) := \forall X : \mathbf{ob} \mathcal{C} . \exists ! f : \mathcal{C}(X, A).$$

is a proposition.

## Corollary

The type of limits to a diagram is a proposition.

# Categorical Choice

## Theorem (AKS)

If  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a fully faithful functor between categories, then for any  $Y : \mathcal{D}$ ,

$$(X : \text{ob } \mathcal{C}) \times (FX \cong Y)$$

is a proposition. That is,

$$\exists X : \text{ob } \mathcal{C} . (FX \cong Y) = (X : \text{ob } \mathcal{C}) \times (FX \cong Y).$$

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## Corollary

For categories  $\mathcal{C}$  and  $\mathcal{D}$ , we have

$$(\mathcal{C} = \mathcal{D}) = (\mathcal{C} \simeq \mathcal{D}) = (F : \mathbf{Fun}(\mathcal{C}, \mathcal{D})) \times (F \text{ is ess. surj. fully faithful}).$$

# No More “With a Choice of Pullbacks”

## Theorem

Suppose that every diagram of shape  $\mathcal{D}$  in  $\mathcal{C}$  admits a limit. Then there is a functor  $\lim : \mathbf{Fun}(\mathcal{C}^{\mathcal{D}}, \mathcal{C})$  taking a diagram to its limit.

## Proof.

The category of diagrams with a limit is a full subcategory of diagrams. If every diagram has a limit, then this fully faithful functor is an equivalence. The composite of the inverse with the functor that projects out the limit is then the desired limit functor.  $\square$

# References

- *Homotopy Type Theory*, Univalent Foundations Project, 2013
- *Univalent Categories and the Rezk Completion*, Ahrens, Kapulkin, Shulman, 2014